

TERRITORY TO WHICH RULES, REGULATIONS AND SERVICE CLASSIFICATIONS APPLY:

The Rules and Regulations and Service Classifications contained in this tariff apply in the service territory of Kentucky-American Water Company.

CANCELLED
OCT 12 2018
KENTUCKY PUBLIC
SERVICE COMMISSION

ISSUED: October 25, 2016
EFFECTIVE: October 7, 2016
ISSUED BY: /s/ Nick O. Rowe
Nick O. Rowe
President
2300 Richmond Road, Lexington, KY 40502

*Issued by authority of an Order of the Public Service Commission
in Case No. 2016-00222 dated September 27, 2016.*

KENTUCKY PUBLIC SERVICE COMMISSION
Talina R. Mathews EXECUTIVE DIRECTOR <i>Talina R. Mathews</i>
EFFECTIVE 10/7/2016 PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

DEFINITIONS

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1. DEFINITIONS APPLICABLE TO RULES AND REGULATIONS

- (a) "Customer" shall mean any person, firm, corporation or municipality that discharges to the Kentucky-American Water Company sewer system pursuant to these Rules and Regulations.
- (b) "Company" shall mean the Kentucky-American Water Company acting through its officers, managers, or other duly authorized employees or agents.
- (c) "Customer's service line" is the service lateral from the main collection line to the Premises served.
- (d) "Commission" shall mean the Kentucky Public Service Commission.
- (e) "Premises" as contemplated in these Rules, mean and include:
 - (1) A building under one roof and occupied as one business or residence; or
 - (2) A combination of buildings owned or leased by one party in one common enclosure or a single tract of land not crossed by public streets, roads, or ways, and occupied by one family or business; or
 - (3) a building owned or leased by one party, having two or more apartments, offices, or suites of offices, and using one or more halls and entrances in common; or
 - (4) a double house having a solid vertical partition wall or a building erected as a single family residence served through one street service connection and subsequently converted into apartments or offices or a combination of such, and where separate water supply plumbing would not be practicable; or
 - (5) each residential or business single occupancy unit, served through one street service connection in a building which is not a Premise otherwise defined in these Rules.

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2. RULES AND REGULATIONS GOVERNING RENDERING OF SERVICE

- (a) The Rules and Regulations in their entirety as hereinafter set forth or as they may hereafter be altered or amended in a regular and legal manner shall govern the rendering of sewer service and every customer upon signing of an application for sewer service or upon the taking of sewer service will be bound thereby.
- (b) All billing for service will be rendered on water usage as measured by a water meter. Residential, commercial, industrial and municipal service is only regularly available for single Premises as "Premises" is defined in these Rules. Under special circumstances when the interests of other customers would not be jeopardized or prejudiced, the Company's President or a Vice President may, by writing, authorize service at regular rates to governmental or political corporations, districts, or authorities not qualifying as "Premises" under these rules.

3. APPLICATIONS FOR SEWER SERVICE

- (a) All persons, firms or corporations desiring sewer service, must make written application at the office of the Company on printed forms provided therefore, setting forth in said application all purposes for which sewer can be used upon their Premises.
- (b) Any change in the identity of the contracting Customer at a Premise will require a new application and the Company may after reasonable notice, discontinue the water supply until such new application has been made and accepted, but the former applicant or customer of sewer shall remain liable for sewer services furnished to said Premises until he has given notice in writing to the Company to discontinue the supply. It is the Customer's responsibility to notify the Company if the identity of the person responsible for paying for service changes.

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3. APPLICATIONS FOR SEWER SERVICE (Con't.)

(c) Existing Street Service Connection

When any person, firm, or corporation, not currently taking sewer service from the Company applies for sewer service, the application shall be in writing on forms supplied by the Company. When such application is accepted by the Company, it shall constitute a contract between the applicant and the Company for service at the Premises named in the application and at any other Premises at which the named applicant may be securing service unless a separate application for service to such other Premises shall have been accepted by the Company.

(d) A Customer who has made application for sewer service to a Premise shall be held liable for all sewer service furnished to such Premises until such time as the Customer properly notifies the Company to discontinue the service for his account.

(e) No charge will be made for activating the sewer to new Customers during regular working hours. Services activated at the request of the Customer after regular working hours or on Saturdays, Sundays and holidays, however, will be billed at actual cost.

(f) No person or persons, corporation or partnership receiving sewer service from the Company will be permitted to use sewer for any other purpose than that for which they shall have contracted to pay as shown by their application. No Customer shall extend sewer lines to others beyond Premises served without written Company consent. An accepted application for sewer service to any Premises shall constitute a license to the applicant to take and receive sewer services for said Premises but only for the uses specified in such application and the supply shall not be used except for the Premises specified in the application.

4. DISCONTINUANCE OF SERVICE

(a) Sewer service will be discontinued to any Premises due to temporary vacancy upon written request of the Customer, without in any way affecting the agreement in force, and upon payment of all charges due as provided for in the Rates, Rules and Regulations of the Company.

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4. DISCONTINUANCE OF SERVICE (Con't.)

(b) The Company will not discontinue service of any Customer for violation of any rule or regulation of the Company or for non-payment of bills, except on written notice of at least ten (10) days mailed to such Customer at his address as shown upon the Company's records, or personally delivered to him or an adult member of his household, advising the Customer in what particular rule has been violated for which water service will be discontinued if the violation is permitted to continue, provided, however, discontinuance of service shall be effected not less than twenty (20) days after the mailing date of the original bill unless, prior to discontinuance, a residential customer presents to the Company a written certificate, signed by a physician, registered nurse or public health officer, that such discontinuance will aggravate an existing illness or infirmity on the affected Premises, in which case discontinuance may not be effected until the effected resident can make other living arrangements or until not less than ten (10) days elapse from the date of the Company's notification without further notice. Subject to the foregoing provisions, water service at the same Premises may be discontinued by the Company for any of the following reasons:

- (1) For failure to protect from injury or damage the sewer lines and appurtenances, or for failure to protect and maintain the Customer service line or fixtures on the property of the Customer, in a condition satisfactory to the Company.
- (2) For non-payment of any account for sewer service supplied, for sewer service, or for service maintenance, or for any other fee or charge accruing under these Rates, Rules and Regulations.
- (3) For violation of any other rule or regulation of the Company or state and municipal rules and regulations applying to the Company's sewer service.

(c) In cases where plumbing has been installed prior to adoption of and not in accordance with these Rules and Regulations, and sewer service is being rendered through a single Customer service line to supply two or more Premises, the Company may enforce compliance with these rules by shutting off water service except that such action will not be taken until the Customers have been given a reasonable notice and opportunity to attach his or her pipes to a separately controlled Customer service line.

(d) Discontinuing the supply of water to a Premises for any such reason shall not prevent the Company from pursuing any lawful remedy by action at law or otherwise for the collection of moneys due from the Customer.

(N) (e) The Franklin County Health Department will be notified for any physical disconnection of the service line to a Ridgewood Subdivision customer.

(N) New Rate or requirement

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5. RENEWAL OF SERVICE AFTER DISCONTINUANCE

- (a) When water service to a Premise has been terminated for any reason, it will be renewed only after the conditions, circumstances or practices which caused the water service to be discontinued are corrected to the satisfaction of the Company, and upon payment of all charges due and payable by the Customer in accordance with the Rates, Rules and Regulations.

6. INSTALLATION OF LINES

- (a) The Company reserves the right to determine the size of each connection to its mains, and the service installed therefrom.
- (b) The Customer shall install and maintain the service lateral from main collection line to Premises served (Customer service line). It shall be constructed of materials approved by the sewer utility and installed per utility specification, installed and maintained by the Customer at their own expense and risk. For Owenton customers only, the Company will be responsible for maintaining the service lateral within the public right-of-way per Owenton City Ordinance. Customer service lines must comply with Division of Water requirements and regulations for separation of potable water and wastewater lines.
- (c) The Customer's service lateral and all connections and fixtures attached thereto (Customer service line) shall be subject to the inspection of the Company before the water will be turned on, and all Premises receiving sewer service, including any and all fixtures within the said Premises, shall at all reasonable hours be subject to inspection by any duly authorized employees of the Company.
- (d) The Customer service line shall be laid to gravity flow if possible, or forced lateral into the sewer and shall be placed on firm and continuous earth so as to give unyielding and permanent support, shall not be laid in driveways, nor pass through Premises other than that to be supplied unless permitted by the Company and access is provided via easement, and shall be installed in a trench at least two feet in a horizontal direction from any other trench laid gas pipe, water pipe, or other facilities, public or private.
- (e) Where a street service connection is already laid to the curb line, the Customer shall connect with the service connection as laid.

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6. INSTALLATION OF LINES (Con't.)

- (f) Each Premise shall be supplied through an independent Customer service line. Customer service lines installed and owned by the property owner must be kept and maintained in good condition.
- (g) The Company shall in no event be liable for any damage done or inconvenience caused by reason of any break, leak or defect in, or by sewage escaping from Customer service lines or fixtures on the Premises of the owner or Customer.
- (h) When necessary to move or relocate facilities, the cost will be paid by the party or parties requesting such relocation.
- (i) If any customer, his employee, or agent, or any contractor at the direction of a customer, breaks a sewer collection line owned and maintained by the Company, the customer shall pay all the actual costs of repairing or replacing the line and restoring it to its original condition.
- (j) The Company will not accept for treatment or disposal any material except sewage as defined by 807 KAR 5:071, Section 2 (7).

7. PLUMBING WORK MUST BE INSPECTED BY COMPANY

- (a) All plumbing work done in connection with Customer service line fixtures connected with the Company's mains shall be submitted for the inspection of the Company before such underground work is covered up. Whenever the Company determines that a job of plumbing is obviously defective, although not in direct violation of these Rules and Regulations, the Company may insist upon its being corrected before the sewer service is accepted.

8. METERS, MONITORING OF USAGE, AND BILL ADJUSTMENT

- (a) All billing for sewer service will be rendered on water usage as measured by a water meter. The terms and conditions for water meters, monitoring of usage, and adjustment of bills are found in the Company's water tariff on file with the Kentucky Public Service Commission.

9. TERMS AND CONDITIONS OF BILLING AND OF PAYMENT

- (a) Bills for sewer service will be rendered for monthly periods, and ending at such dates as may be determined by the Company.

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9. TERMS AND CONDITIONS OF BILLING AND OF PAYMENT (Con't.)

- (b) Special charges, which are charges other than the rates for sewer service, shall be payable upon demand.
- (c) All bills for sewer service are due and payable at the office of the Company or at a designated branch collecting agency. Failure to pay will render the Customer subject to discontinuance of water service and subject to charge for disconnecting and reconnecting water service. If any bill for service is not paid within ten days following the submission thereof, the water service may be discontinued in accordance with Rule 4, Discontinuance of Service.
- (d) Customers are responsible for furnishing the Company with their correct addresses. Failure to receive bills will not be considered an excuse for non-payment nor permit an extension of the date when the account would be considered delinquent.
- (e) All bills will be sent to the address entered in the application unless the Company is notified in writing by the Customer of any change of address.
- (f) The Company will not be bound by bills rendered under mistake of fact as to the quantity of service rendered.
- (g) The use of water by the same Customer in different Premises or localities will not be combined.
- (h) If for any reason service is discontinued before the expiration of one (1) month from commencement of service, a bill for at least the minimum charge for one (1) month will be rendered.

10. OWNERSHIP OF PROPERTY

- (a) All pipe, fittings, and equipment, between the Company's collecting mains and the Customer's Premise shall at all times be and remain the property of the Customer (Customer service line).

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11. SAMPLE BILL



0001012210007823094000000000005895019

6 For Service To: 255 KERRY DR
 8 Check this box for address changes and note new address on back.

Account Number	1012-210007823094
Due Date	March 5, 2015
Total Due	\$58.95
If Paid After Due Date	\$61.90 after 3/5/15

7 Amount Enclosed \$

10 FIRST NAME M. LAST NAME
 445 ELK LAKE RESORT RD
 OWENTON, KY 40359-9163

9 KENTUCKY AMERICAN WATER
 PO BOX 371880
 PITTSBURGH, PA 15250-7880

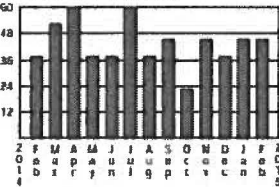
11 Please tear along the dotted line and retain this portion with your payment.

12 BILLING PERIOD AND METER READINGS

- 13 • Billing date: February 17, 2015
- 14 • Due Date: March 5, 2015
- 15 • Billing period: Jan 14 to Feb 16 (34 Days)
- 16 • Next reading on or about: Mar 16, 2015
- 17 • Customer Type: Residential
- 18 • Meter Reading Measurements:
 1 unit = 100 CF or 748 gallons of water
 Billing Measurement: 100 gallons (CGL)
- 19 •
- 20
- 21
- 22
- 23
- 24
- 25 Total Water Use Comparison (in 100 gallons)
- 26 • Current billing period 2015: 44.88 CGL
- 27 • Same billing period 2014: 37.40 CGL

Meter No.	0788024761
Size of meter	5/8"
Current Read	665 (Actual)
Previous Read	659 (Actual)
Total water used this billing period	6 units (4.88 gallons)

28 Billed Use Graph (100 gallons)



29 BILLING SUMMARY

For Service To: 255 KERRY DR
 For Account: 1012-210007823094

Prior Balance	
30* Balance from last bill	38.20
• Payments As of Jan 29. Thank you!	-38.20
31 Balance Forward	0.00
32 Current Water Service	
33 • Water Service Charge	12.49
34 • Water Usage Charge (\$0.53004000 x 44.88)	23.79
35 • Total Water Service Related Charges	36.28
36 Current Wastewater Service	
37 • Wastewater Base Service Charge	20.75
38 • Wastewater Usage Charge (\$0.00000000 x 44.88)	0.00
39 • Total Wastewater Service Related Charges	20.75
40 Other Charges	
41 • KRA Withdrawal Fee (\$0.01800000 x 44.88)	0.81
42 • Total Other Charges	0.81
43 Taxes	
44 • School District Tax	1.11
45 • Total Taxes	1.11
46 TOTAL CURRENT CHARGES	58.95
47 TOTAL AMOUNT DUE	\$58.95



48 Important messages from Kentucky American Water

- Local Office: 2300 Richmond Road (Lexington) and 102 Main Street (Owenton).
- Go paperless. Reduce clutter with paperless billing, and save time and money with our automatic payment program. These are convenient, secure and environmentally friendly ways to receive and pay your bill. To get started, log on to www.amwater.com/myh2o.

49 Customer Service: 1-800-878-6301 M-F 7am to 7pm Emergency: 24/7

www.kentuckyamwater.com

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11. SAMPLE BILL

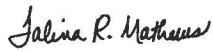
(Continued)

Here is an explanation of the typical customer's bill.

- | | |
|--|--|
| <p>1. Assumed Name for Kentucky American Water Company and payment mailing address</p> <p>2. Customer Account Number – use this when making inquiries</p> <p>3. Date Payment is Due</p> <p>4. Total Amount Due or DO NOT PAY (if credit balance or paid through automatic withdrawal)</p> <p>5. Amount Due if payment is late (with applicable late fee included)</p> <p>6. Address when service is received</p> <p>7. Amount enclosed</p> <p>8. Are to request a change of address or telephone number</p> <p>9. Address to mail payment</p> <p>10. Customer Name and Billing Address</p> <p>11. Please return this portion with your payment</p> <p>12. Billing Period & Meter Information</p> <p>13. Billing Date</p> <p>14. Due Date</p> <p>15. Billing Period and number of days of service</p> <p>16. Next reading on or about</p> <p>17. Customer Type</p> <p>18. Meter Reading Measurement</p> <p>19. Billing Measurement</p> <p>20. Meter Number</p> <p>21. Meter Size</p> <p>22. Current Meter Reading</p> <p>23. Previous Meter Reading</p> | <p>24. Total Water Used This Billing Period</p> <p>25. Total Water Use Comparison (in 100 gallons)</p> <p>26. Current Billing Period Current Year</p> <p>27. Same Billing Period Prior Year</p> <p>28. Billed Use Graph (100 Gallons)</p> <p>29. Billing Summary</p> <p>30. Balance from Last Bill</p> <p>31. Balance Forward</p> <p>32. Current Water Service</p> <p>33. Water Service Charge</p> <p>34. Water Usage</p> <p>35. Total Water Charges</p> <p>36. Current Wastewater Service</p> <p>37. Wastewater Base Service Charge</p> <p>38. Wastewater Usage Charge</p> <p>39. Total Wastewater Service Related Charges</p> <p>40. Other Charges</p> <p>41. KRA (Kentucky River Authority) withdrawal Fee</p> <p>42. Total Other Charges</p> <p>43. Taxes</p> <p>44. School District Tax</p> <p>45. Total Taxes</p> <p>46. Total Current Charges</p> <p>47. Total Amount Due</p> <p>48. Messages from Kentucky American Water Customer Service, Emergency Phone Number, and Internet Address</p> |
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KENTUCKY-AMERICAN WATER COMPANY
Sewer service in Bourbon, Clark, Franklin and Owen Counties

P.S.C. Ky. No. 2
Original Sheet No. 13

12. **LIABILITY OF THE COMPANY**

- (a) The Company shall not in any way or under any circumstances be held liable or responsible to any person or persons for any loss or damage resulting from the sewer service, due to any cause whatsoever. The Company will undertake to use reasonable care and diligence in order to prevent and avoid interruptions and fluctuations in the service, but it cannot and does not guarantee that such will not occur.
- (b) The Company will make every effort to maintain the sewer collection system that is required for reasonable service, but it does not guarantee to furnish service at all times.
- (c) The Company shall not be responsible for accidents or damages resulting from the discontinuance of service, nor by reason of the breaking of any main, sewer pipe, fixture or appliance whether owned by the company or Customer, and no person shall be entitled to damages nor have any portion of a payment refunded for any interruption of service. The Company will exercise every care in this matter, and in the event of the necessity of turning off water, every reasonable effort will be made to notify the Customer.
- (d) The Company shall not be considered in any manner an insurer of property or persons. The Company agrees to furnish such sewer service as shall then be available and not other or greater, and it shall be free and exempt from any and all claims for damages on account of any injury to property or persons for any other cause whatsoever.

13. **GENERAL**

- (a) No person shall connect or disconnect to the sewer main without the consent of the Company. Penalties provided by law for any such action will be rigidly enforced.
- (b) No employee or agent of the Company shall have the right or authority to bind it by any promise, agreement or representation contrary to the letter or intent of these Rules and Regulations.
- (c) Any complaint against the service or employees of the Company should be made at the office of the Company and preferably in writing. The customer shall give immediate notice to the Company of any irregularities or unsatisfactory service and of any defects known to the Customer.

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14. APPROVAL OF RULES AND REGULATIONS

- (a) All Rules and Regulations of the Company are subject to the approval of the Public Service Commission of the State of Kentucky, or its successor, and if any part thereof should be adjudged to be in violation of any rule or order made by the Commission, then that particular part shall be ineffective but without in any way affecting the other portions thereof.

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